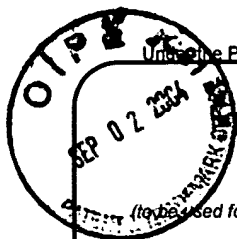


LFW

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

| | | |
|--|----------------------|-----------------------|
| Total Number of Pages in This Submission | Application Number | 10/724,034 |
| | Filing Date | 11/26/2003 |
| | First Named Inventor | Jakobsson, et al. |
| | Art Unit | 2131 |
| | Examiner Name | TBA |
| Attorney Docket Number | | 081004.179US2 RSA-061 |

ENCLOSURES (Check all that apply)

| | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Postcard |
| Remarks 1. PTO Form 1449 (1 page) 2. 7 Publications 3. Copy of PCT/US03/37928 International Search Report | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

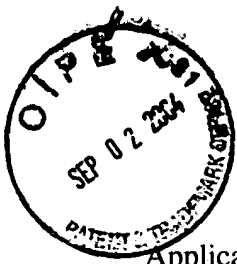
| | |
|-------------------------|--------------|
| Firm or Individual name | Eric L. Prah |
| Signature | |
| Date | 08/31/2004 |

CERTIFICATE OF TRANSMISSION/MAILING

| | | | |
|---|--|-------------------|------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | | Suzanne M. Pineau | |
| Signature | | Date | 08/31/2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jakobsson, et al.
Serial No.: 10/724,034
Filing Date: November 26, 2003
Title: **Identity Authentication System and Method**
Examiner: TBA
Group Art Unit: 2131
Attorney Docket No.: 081004.179US2 RSA-061

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 31, 2004.


Suzanne M. Pineau

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 1.97(e)(1)**

Sir:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98. Copies of the publications are enclosed.

Applicants state under 37 C.F.R. §1.97(e)(1) that the publications provided herewith were cited in an International Search Report issued in connection with a counterpart international (PCT) patent application not more than three months prior to the filing of this statement.

The submission of these publications does not constitute a representation by the Applicants that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over

Serial No. 10/724,034
Jakobsson, et al.
Page 2

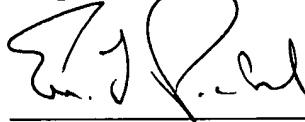
the listed publications, should one or more of the publications be applied against the claims of the present application.

No fee is believed to due in connection with the filing of this Statement as the references provided herein are being timely filed under 37 CFR 1.97(e)(1).

However, in the event a fee is due, please charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Dated: August 31, 2004

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Eric L. Prahl", written over a horizontal line.

Eric L. Prahl
Registration No. 32,590
Attorney for Applicants

Wilmer Cutler Pickering Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tel: (617) 526-6043
Fax: (617) 526-5000

| | | | |
|--|---|----------------------------------|----------------------------------|
| SUBT. FOR, PTO-1449 HAILE AND DORR LLP DRAFT OF MARCH 19, 2004 | | DOCKET NUMBER 081004.179US2 | APPLICATION NUMBER 10/724,034 |
| INFORMATION DISCLOSURE IN AN APPLICATION (USE SEVERAL SHEETS IF NECESSARY) | | APPLICANT Jakobsson, et al. | |
| | | FILING DATE November 26, 2003 | GROUP ART UNIT 2131 |
| SHEET | 1 | OF | 1 |

| U.S. Patent Documents | | | | | | |
|-----------------------|-----------------|----------|-----------------|-------|----------|----------------------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | 6,091,835 | 07/18/00 | Smithies et al. | 382 | 115 | |
| | 5,251,259 | 10/05/93 | Mosley | 380 | 23 | |
| | 5,177,789 | 01/05/93 | Covert | 380 | 23 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| Foreign Patent Documents | | | | | | | |
|--------------------------|-----------------|----------|---------|-------|----------|-------------|----|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
| | 1 028 401 | 08/16/00 | EP | | | | |
| | 0 857 842 | 08/12/98 | EP | | | | |
| | 199 61 403 | 08/02/01 | DE | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| Other Documents (Including Author, Title, Date Pertinent Pages, Etc.) | | |
|---|--|--|
| A1 | "Authentication Reference Guide," Online! April 9, 2002, Secure Computing, pgs. 1-18 | |
| A2 | | |
| A3 | | |

| | |
|--|-----------------|
| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant. | |